## Ехнівіт С

1	UNITED STATES OF AMERICA )
2	SS:
3	STATE OF ILLINOIS )
4	
5	I, Wayne J. Fickinger, herein, having read
6	the foregoing testimony of the pages of this
7	deposition do certify it to be a true and correct
8	transcript, subject to the corrections, if any, shown
9	on the attached page.
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14	
15	WAYNE J. FICKINGER
16	
17	
18	Subscribed and sworn to before me
19	thisday of, 19
20	
21	
22	

1	APPEARANCES OF COUNSEL:
2	
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4	
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22	

1		DEPOSITION EXHIBITS	
2			
3		WAYNE J. FICKINGER	
4			
5	NUMBER	DESCRIPTION IDENT	IFIED
6	No. 1	Adams Corp. Application	15
7	No. 2	Amendment dated 4/30/99	23
8	No. 3	Order of FCC dated 12/24/92	34
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- 1 WAYNE J. FICKINGER,
- 2 having been first duly sworn, was examined and
- 3 testified as follows:
- 4 EXAMINATION
- 5 BY MR. HUTTON:
- Q. Mr. Fickinger, my name is Tom Hutton. I'm
- 7 counsel for Reading Broadcasting, Inc. I'm going to
- 8 be asking you a series of questions. If you don't
- 9 understand a question, please feel free to ask me to
- 10 rephrase it or repeat the question.
- 11 If Mr. Cole interjects with an objection,
- 12 please wait until we have completed our colloquy and
- 13 then we'll instruct you as to whether or not to
- 14 answer the question.
- 15 A. Okay.
- 16 Q. When I mentioned the sequestration rule to
- 17 Mr. Cole, what that means is that after you complete
- 18 your deposition, you are not to discuss the substance
- 19 of what was discussed today with any of the other
- 20 people who are being deposed on behalf of Adams
- 21 Communications.
- 22 A. I understand.

1 Q. Once their depositions are complete, you

- 2 may discuss what was discussed, but just pending the
- 3 completion of depositions.
- 4 Would you state your name and address for
- 5 the record.
- 6 A. My name is Wayne Fickinger, Wayne J.
- 7 Fickinger, 1244 Forest Glen South, Winnetka,
- 8 Illinois.
- 9 Q. And what is your -- let me ask you another
- 10 question, are you taking any medications that could
- 11 affect your ability to recall past events or your
- 12 ability to testify accurately as to past events?
- A. No, I'm not.
- Q. Can you give me a brief rundown of your
- work history, your current job and going back into
- 16 the past just in general terms.
- 17 A. I have been in the advertising agency
- 18 business 40 years. Currently I'm retired. I'm on
- 19 several very small privately held boards, and that's
- 20 what I do.
- 21 Q. In the advertising business, which agency
- 22 or agencies did you work for?

- 1 A. I really worked for basically two, J.
- 2 Walter Thompson Company and Bozell, Inc., which is
- 3 now True North.
- 4 O. You retired when?
- 5 A. Well, I actually retired from Thompson in
- 6 1983, and a year or so later, I joined Bozell, and I
- 7 retired then in 19 -- I think it was '89 or '90, I
- 8 don't remember which.
- 9 Q. And what was your position when you
- 10 retired?
- 11 A. From Thompson I was a president of the
- 12 company. From Bozell, I was vice chairman of the
- 13 company.
- 14 Q. Let's talk about Reading, Pennsylvania.
- 15 Have you ever been to Reading, Pennsylvania?
- 16 A. No, I've never been to Reading.
- Q. Are you familiar with the programming of
- 18 television station WTVE in Reading?
- 19 A. Yes.
- 20 Q. Can you describe for me your understanding
- 21 of the programming of that station.
- 22 A. The programming, the current programming is

- primarily to Hispanics.
- Q. And when did you learn that the programming
- 3 was primarily to Hispanics?
- A. I'm going to say about maybe three or five
- 5 months ago.
- Q. What was your understanding prior to that
- 7 time?
- 8 A. You mean -- what did they call it? It's
- 9 where they sell the merchandise, home shopping,
- 10 that's what I understood prior to that.
- 11 Q. And was that the case at the time Adams
- 12 Communications filed its application, to your
- 13 knowledge?
- 14 A. To my knowledge, yes. I'm not 100 percent
- 15 sure of that.
- 16 Q. Can you state your ownership interest in
- 17 Adams Communications.
- 18 A. I'm one of a group of investors in Adams,
- 19 that's basically what I am.
- 20 Q. Do you know your percentage of ownership
- 21 interest?
- 22 A. Yes, 10 percent.

1 Q. And are you an officer or director of Adams

- 2 Communications?
- 3 A. Yes.
- Q. What office do you hold or --
- 5 A. Vice president.
- 6 Q. Are you a director?
- 7 A. Yes.
- 8 Q. Have you had any discussions with any of
- 9 the other stockholders of Adams Communications about
- 10 the programming that Adams would air on this station
- 11 if Adams is successful?
- 12 A. I talked with two of the leaders, yes.
- 13 O. What was the nature of that discussion?
- 14 A. Hispanic is what we currently would be
- 15 planning to do.
- 16 Q. When did you have that discussion?
- 17 A. The first time around I'm going to say
- 18 about four or five months ago and this morning.
- 19 Q. Would the plan be to affiliate with a
- 20 Hispanic network or to air independent Hispanic
- 21 programming?
- 22 A. I don't know.

- 1 Q. Was that discussed?
- A. No, not in my presence.
- Q. Was the availability of an affiliation with
- 4 a Hispanic network discussed?
- 5 A. Not really. There was one mentioned that
- 6 works with them, but no affiliation was discussed.
- 7 Q. Which one -- I'm sorry. Which one works
- 8 with them?
- 9 A. The one that currently works with the
- 10 station, with Channel 51.
- 11 Q. To your knowledge, has anyone affiliated
- 12 with Adams Communications held discussions with a
- 13 representative of a Hispanic network on any subject?
- 14 A. Not to my knowledge.
- Q. Prior to four or five months ago, did you
- have any understanding or any discussion with other
- 17 Adams principals as to what programming Adams would
- 18 air if Adams were successful?
- 19 A. No.
- 20 Q. Have you participated in any discussions
- 21 with other Adams principals about the proposed
- 22 management of the station if Adams is successful?

- 1 A. No.
- Q. Have you been involved in any discussions
- 3 with anyone about the proposed management of the
- 4 station if Adams is successful?
- 5 A. No.
- 6 Q. Have you had any discussions with anyone
- 7 about potential changes in the ownership of Adams?
- 8 A. No.
- 9 Q. Have you had any discussions with anyone
- 10 about the potential sale of the FCC authorization if
- 11 Adams is granted the FCC authorization?
- 12 A. No.
- 13 Q. Have you ever worked in the -- I understand
- 14 you have worked in the advertising industry. Have
- 15 you ever worked in the broadcasting industry?
- 16 A. I'd have to say no, no.
- 17 Q. Have you ever been involved in any civic
- 18 activities in or around the Reading, Pennsylvania
- 19 area?
- 20 A. No.
- Q. I'm going to ask you a series of questions
- 22 about potential media interests, and by media

1 interests I mean any media of mass communications,

- 2 including cable television, broadcast communications,
- 3 satellite communications, publication of any type.
- 4 Are you an officer or director -- one caveat, I'm
- 5 sorry, I'm going to exclude any holdings in publicly
- 6 traded companies that amount to less than 1 percent
- 7 of a publicly traded company. Do you have any
- 8 ownership interests in any medium of mass
- 9 communications?
- 10 A. No.
- 11 Q. Are you an officer of any company that
- 12 holds an ownership interest in any medium of mass
- 13 communications?
- 14 A. No.
- Q. Are you a director of any company that
- 16 holds any such interest?
- 17 A. No.
- 18 Q. And is all of that true as of April of
- 19 1999?
- 20 A. Yes.
- 21 Q. Are you a trustee of any educational
- 22 institution?

- 1 A. No.
- Q. Are you a trustee of any organization that
- 3 operates a noncommercial broadcast station?
- 4 A. No.
- 5 Q. Can you tell me how you came to be involved
- 6 in Adams Communications.
- 7 A. In 1963 I started work with a fellow named
- 8 Bob Haag who was president of Adams. And he and I
- 9 have been friends for many years and throughout those
- 10 years, I became also friends with Howard Gilbert or
- 11 Manny Steinfeld and so on, that's how.
- 12 Q. Who was it that first suggested to you that
- 13 you become an owner of Adams Communications?
- 14 A. Howard Gilbert.
- Q. What did he tell you at that time?
- 16 A. Well, he suggested that we had an interest
- 17 in organizations of mass communication that weren't
- 18 serving the public interest in the way that they
- 19 should and here was an example.
- Q. What was an example?
- 21 A. Channel 51 in Reading, Pennsylvania.
- Q. Why did he say that that was an example?

1 A. He just felt from what he knew about it

- that the public wasn't being served as it should be
- 3 properly.
- Q. Did he tell you why?
- 5 A. Not in any detail, no.
- Q. Did he tell you who had brought Channel 51
- 7 in Reading, Pennsylvania to his attention?
- 8 A. No, he didn't.
- 9 Q. Did you discuss with him who else would be
- 10 involved in Adams Communications?
- 11 A. Yes.
- 12 Q. Who were the persons that were going to be
- 13 involved?
- 14 A. He told me Bob Haag, himself and he wasn't
- 15 specific about the rest of them.
- 16 Q. Did you discuss what your ownership
- 17 interest would be, the percentage?
- 18 A. Yes.
- 19 Q. Did he propose it to you or did you propose
- 20 it to him?
- 21 A. He proposed it to me.
- Q. Did you agree to what he proposed or was

1 there any negotiation about the figure?

- 2 A. I agreed.
- 3 Q. Did he indicate to you what the cost would
- 4 be?
- 5 A. No, he didn't.
- 6 (Document marked as Fickinger Deposition
- 7 Exhibit No. 1 for identification.)
- 8 Q. I'd like to introduce Fickinger Exhibit 1.
- 9 Mr. Fickinger, this is to the best of my knowledge --
- 10 and you or your counsel can correct me if I'm
- 11 wrong -- but this is a copy of the Adams
- 12 Communications Corporation application as filed on
- 13 June 30th, 1994. Does that appear to be correct to
- 14 you?
- 15 A. Looking at it, yes, it appears to be
- 16 correct.
- Q. First, I would like you to turn to Page 3
- 18 of that form. In the middle of that page there's
- 19 reference to a filing made in Boston, Massachusetts
- on November 23 of 1993, do you see that?
- 21 A. Yes, I do.
- Q. Do you know why Adams was incorporated in

- 1 Massachusetts?
- 2 A. No, I don't.
- 3 Q. Did you ever have any discussion with
- 4 Mr. Gilbert about participating in an application for
- 5 a new station in Massachusetts?
- 6 A. No.
- 7 Q. Or did you ever discuss with him the
- 8 possibility of filing a competing application against
- 9 the renewal application in the station of
- 10 Massachusetts?
- 11 A. No.
- 12 Q. Turning to Page 4 of that application, do
- 13 you know how it was decided that Mr. Haag would be
- 14 president of the company?
- 15 A. No, I don't.
- 16 Q. Do you know how it was decided that he
- 17 would hold 18.5 percent of the company?
- 18 A. No, I don't.
- 19 Q. With respect to Mr. Gilbert, do you know
- 20 how he came to be vice president and secretary,
- 21 director of the company?
- 22 A. No, I don't.

1 Q. Do you know how it came to be decided that

- 2 he held 11.6 of the company?
- 3 A. No.
- 4 Q. Looking through the next several pages,
- 5 would you answer the same questions for each of the
- 6 entries there?
- 7 A. No, I really don't know.
- 8 Q. Referring to the entry under your name, it
- 9 shows 11.6 percent.
- 10 A. Yeah.
- 11 Q. Was that ever discussed with you?
- 12 A. Yes, it was.
- 13 Q. When was that discussed?
- 14 A. When I was asked if I wanted to come into
- 15 this.
- 16 Q. I guess I understood that you had agreed to
- 17 take a 10 percent interest.
- 18 A. That was initially.
- 19 Q. How was it decided that you would have 11.6
- 20 percent?
- 21 A. I don't know to be honest with you.
- Q. Did you have any discussion in which you

- 1 agreed to take 11.6 percent?
- 2 A. Much later on.
- 3 O. When was that?
- 4 A. I really don't know. It was just later,
- 5 maybe a year or a year and a half later.
- 6 Q. Was that in connection with someone bowing
- 7 out of the group?
- 8 A. I don't know.
- 9 Q. Was it in connection with someone not
- 10 paying capital calls?
- 11 A. I don't know.
- 12 Q. Who did you have the discussion with?
- 13 A. Howard Gilbert.
- Q. What did he tell you at that time?
- 15 A. I don't remember the conversation to be
- 16 honest with you.
- 17 Q. Before Adams was formed or before its
- 18 application was filed rather, did you provide any
- 19 personal financial information to anyone in
- 20 connection with the application?
- 21 A. I don't remember doing so.
- Q. Were you asked to provide a balance sheet?

1 A. I don't remember being asked to do that.

- 2 Q. Do you know if you did provide a balance
- 3 sheet?
- 4 A. To the best of my knowledge, no.
- 5 Q. Early on in your testimony you referred to
- 6 leaders of the group. Who were you referring to?
- 7 A. Bob Haag and Howard Gilbert.
- 8 Q. And why did you see them or term them the
- 9 leaders of the group?
- 10 A. I've done some other investments with them,
- 11 and I knew their role and I accepted their role as
- 12 such.
- Q. And what was the nature of those other
- 14 investments?
- 15 A. It was an interest in a television station
- 16 in Chicago. We as a group had an interest in
- 17 obtaining a station.
- Q. Was that Monroe Communications?
- 19 A. Yes, it was.
- Q. Have you been involved in any other
- 21 investments with these gentlemen?
- 22 A. No.

1 Q. And do you recall what percentage of Monroe

- 2 Communications you owned?
- 3 A. Ten percent.
- 4 Q. Exhibit 1 shows that the application was
- 5 filed by the law firm of Bechtel & Cole. Do you know
- 6 who picked the law firm to file the application?
- 7 A. No, I don't.
- 8 Q. The engineering exhibit to the application,
- 9 which maybe your counsel can point to you.
- 10 MR. COLE: I will show it to him.
- 11 (Discussion off the record.)
- 12 Q. Now, that engineering exhibit indicates
- 13 that it was prepared by Suffa & Cavell, Inc. Do you
- 14 know who picked the consulting engineers?
- 15 A. No, I don't.
- Q. Did you see a draft of the application
- 17 before it was filed with the FCC?
- 18 A. No, I didn't.
- 19 Q. Did you see the application after it was
- 20 filed with the FCC?
- 21 A. No.
- Q. Have you ever seen a copy of the Reading

1 Broadcasting, Inc. license renewal application?

- 2 A. No.
- 3 Q. Do you know whether Bechtel & Cole has a
- 4 contingent fee arrangement for this case?
- 5 MR. COLE: Objection.
- 6 THE WITNESS: No, I don't.
- 7 BY MR. HUTTON:
- 8 Q. In the engineering exhibit, Page 25 of the
- 9 FCC form, there's a description of the location of
- 10 the proposed transmitter site of Adams
- 11 Communications. Do you see that?
- 12 A. Yes, I do.
- Q. Did you play any role in selecting that
- 14 transmitter site?
- 15 A. I didn't, no.
- 16 Q. Do you know who did?
- 17 A. No.
- 18 Q. On Exhibit 3 to the application, which is
- 19 the financial qualifications exhibit, there's a
- 20 reference in the last paragraph to a loan commitment
- 21 from American National Bank and Trust Company of
- 22 Chicago. Do you know who selected that bank for that

- 1 purpose?
- 2 A. No.
- Q. Did you play any role in selecting a bank
- 4 for that purpose?
- 5 A. No.
- Q. There's a reference to a John Q. McKinnen
- 7 from that bank. Did you ever have any discussions
- 8 with Mr. McKinnen prior to the time that the
- 9 application was filed?
- 10 A. No.
- 11 O. After it was filed?
- 12 A. No.
- 13 Q. In the next sentence it says that Adams'
- 14 shareholders have committed to contribute funds as
- 15 necessary during the prosecution of the application.
- 16 Is that correct, to your knowledge?
- 17 A. Yes.
- 18 Q. And is that commitment set forth in
- 19 writing?
- 20 A. I don't know.
- Q. Did you set forth a commitment to
- 22 contribute your pro rata share in writing?

1 A. It was always understood that that would be

- 2 part of it.
- 3 Q. Do you know if there are any contingent
- 4 ownership interests held by other parties in Adams
- 5 Communications such as options to buy stock or
- 6 pledges of stock or warrants?
- 7 A. I don't know that.
- 8 Q. Referring to the second paragraph of this
- 9 form, there's a reference to a total budget estimated
- 10 by Adams. Were you involved in preparing that
- 11 budget?
- 12 A. No, I was not.
- 13 Q. Was the figure there discussed with you at
- 14 all?
- 15 A. No.
- Q. I'd like to introduce Fickinger Exhibit 2.
- 17 (Document marked as Fickinger Deposition
- 18 Exhibit No. 2 for identification.)
- 19 (Discussion off the record.)
- Q. This is an amendment to the application as
- 21 filed with the Federal Communications Commission on
- 22 April 30, 1999. Mr. Fickinger, did you see this

- before it was filed?
- 2 A. No.
- 3 Q. Did you see it after it was filed?
- 4 A. No.
- Q. Do you know why it was filed?
- 6 A. No, I don't.
- 7 Q. Referring to Exhibit 3 to that amendment,
- 8 in the second paragraph there's a reference to a new
- 9 budget figure for Adams Communications. Were you
- 10 involved in any of the analysis referenced in that
- 11 paragraph?
- 12 A. No.
- 13 Q. Was the new budget figure ever discussed
- 14 with you?
- 15 A. No.
- 16 Q. Have you ever been involved in any
- 17 discussions with any other party about dismissing the
- 18 application of Adams Communications in return for a
- 19 payment?
- 20 A. No.
- Q. To your knowledge, has anyone associated
- 22 with Adams Communications been involved in such a